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**PATENT**  
**Docket No. H 4299 PCT/US**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re:** Application of Naumann, et al.

**International Application No.** PCT/EP00/08774  
**International Filing Date:** September 8, 2000

<b>Serial No.</b> To be assigned	<b>Examiner:</b> To be assigned
<b>Filed:</b> To be assigned	<b>Art Unit:</b> To be assigned

**TITLE:** NEW DIRECT HAIR COLORANTS

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Box PCT  
Washington, D.C. 20231

ATTN: DO/EO/US

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants disclose herewith the documents listed on the enclosed IDC form PTO-1449. In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document listed is enclosed. Applicants respectfully request that the Examiner consider the documents and evidence that consideration by initialing the IDC form next to each listed document.

Where a translation has not been provided for a non-English document, the relevance is explained in: (a) this application, (b) the enclosed English language search report issued by the European Patent Office in the international stage of this application (PCT/EP00/08774), and/or (c) an English language equivalent identified in parentheses in the "Country" column under Foreign Patent Documents of the enclosed form PTO-1449. It is noted that any English equivalent listed in the "Country" column under Foreign Patent Documents, is not intended to serve as a translation for the foreign patent document, but is being submitted to provide the Examiner with patent family information and to explain the relevance of the foreign patent document.

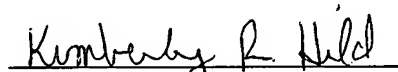
Intl. Appln. No. PCT/EP00/08774  
Naumann, et al.

Docket No. H 4299 PCT/US

This Statement does not represent that a search was made or that no better art exists and does not constitute an admission that the listed documents are material or constitute prior art. Therefore applicants reserve the right to present to the Examiner the relevant facts and law regarding the status of each listed document. Applicants further reserve the right to take appropriate action to establish patentability of the claimed invention over the listed documents, should one or more of them be applied against the claims.

This statement is being submitted within three months of entering, as set forth in 37 C.F.R. § 1.491, the National Stage of the above identified application, and therefore no fee for submitting this IDS is believed to be due. However, the Commissioner is hereby authorized to charge Deposit Account No. 01-1250 if any fee under 37 C.F.R. §1.17 is deemed necessary for the accompanying references to be considered by the Patent and Trademark Office. This Statement is provided in duplicate.

Respectfully submitted,

  
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Enclosures:

1. PTO Form 1449 w/references
2. International Search Report

10/088062

JC13 Rec'd PCT/PTO 13 MAR 2002

Form PTO-1449		Docket No: H4299 PCT/US		Int'l App No: PCT/EP00/08774				
INFORMATION DISCLOSURE CITATION		Applicant: Naumann et al.						
		Int'l Filing Date: September 8, 2000		Group:				
US PATENT DOCUMENTS								
Examiner Initial*		Document Number	Date	Name	Class	Sub- class	Filing Date if Approp.	
	AA	3,632,582	1/4/72	Bil	260	247.5		
	AB	3,944,612	3/16/76	Bil	260	573		
	AC	4,865,774	9/12/89	Fabry et al.	252	554		
	AD	4,931,066	6/5/90	Grollier et al.	8	410		
	AE	4,931,218	6/5/90	Schenker et al.	252	551		
	AF	5,294,726	3/15/94	Behler et al.	554	98		
	AG	5,414,128	5/9/95	Steckelberg et al.	564	406		
	AH	5,534,267	7/9/96	Neunhoeffler et al.	424	701		
	AI	6,099,592	8/8/00	Vidal et al.	8	409		
	AJ	6,114,532	9/5/00	Ries et al.	546	162		
FOREIGN PATENT DOCUMENTS								
Examiner Initial *		Document Number	Date	Country	Class	Sub- Class	English Translati on	
							Yes	No
	AK	0 740 931	11/6/96	Europe (US6099592)				X
	AL	1 206 491	9/23/70	Great Britain				
	AM	1 349 118	3/27/74	Great Britain				
	AN	198 04 085	8/5/99	Germany (US6114532)				X
	AO	35 28 882	4/4/96	Germany (US4931066)				X
	AP	37 23 354	1/26/89	Germany (US4931218)				X
	AQ	37 25 030	2/9/89	Germany (US4865774)				X
	AR	39 26 344	2/28/91	Germany (US5294726)			X	
	AS	WO 94/08970	4/28/94	PCT (US5534267)				X

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		Int'l Filing Date: September 8, 2000	Group:
OTHER DOCUMENTS			
AT	The Science of Hair Care, Chapter 7, pages 235-261, published in Volume 7 of Dermatology, Marcel Dekker Inc. NY/Basle (1986)		
AU	The Science of Hair Care, Chapter 8, pages 263-286, published in Volume 7 of Dermatology, Marcel Dekker Inc. NY/Basle (1986)		
AV	EU Inventory of Cosmetic Ingredients, Colipa, March 1996 on diskette		
Examiner:		Date Considered:	

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.